

General Purposes & Audit Committee Agenda



To: Dr Olu Olasode (Chair)
Councillor Karen Jewitt (Vice-Chair)
Councillors Stephen Mann, Nina Degrads, Paul Scott, Chris Clark,
Joy Prince, Stuart Millson, Steve Hollands, Simon Hoar and Luke Clancy

Reserve Members: Pat Clouder, Bernadette Khan, Sean Fitzsimons,
Clive Fraser, Andrew Pelling, Kola Agboola, Jason Cummings,
Badsha Quadir, Ian Parker and Jeet Bains

A meeting of the **General Purposes & Audit Committee** which you are hereby summoned to attend, will be held on **Thursday, 25 November 2021** at **6.30 pm** in **Council Chamber, Town Hall, Katharine Street, Croydon CR0 1NX**

KATHERINE KERSWELL
Chief Executive and Head of Paid Service
London Borough of Croydon
Bernard Weatherill House
8 Mint Walk, Croydon CR0 1EA

Cliona May
020 8726 6000 x47279
Cliona.May@croydon.gov.uk
www.croydon.gov.uk/meetings
Wednesday, 17 November 2021

Residents are able to attend this meeting in person, however we recommend that you watch the meeting remotely via the following link:

<https://civico.net/croydon/meetings/13780>

If you would like to attend in person please note that spaces are extremely limited and are allocated on a first come first served basis. If you would like to attend in person please email democratic.services@croydon.gov.uk by 5pm the working day prior to the meeting to register your interest.

If you would like to record the meeting, we ask that you read the guidance on the recording of public meetings here before attending.

The agenda papers for all Council meetings are available on the Council website www.croydon.gov.uk/meetings

If you require any assistance, please contact Cliona May on 020 8726 6000 x47279 as detailed above.

AGENDA – PART A

1. Apologies for Absence

To receive any apologies for absence from any members of the Committee.

2. Disclosure of Interests

Members and co-opted Members of the Council are reminded that, in accordance with the Council's Code of Conduct and the statutory provisions of the Localism Act, they are required to consider in advance of each meeting whether they have a disclosable pecuniary interest (DPI), an other registrable interest (ORI) or a non-registrable interest (NRI) in relation to any matter on the agenda. If advice is needed, Members should contact the Monitoring Officer in good time before the meeting.

If any Member or co-opted Member of the Council identifies a DPI or ORI which they have not already registered on the Council's register of interests or which requires updating, they should complete the disclosure form which can be obtained from Democratic Services at any time, copies of which will be available at the meeting for return to the Monitoring Officer.

Members and co-opted Members are required to disclose any DPis and ORIs at the meeting:

- Where the matter relates to a DPI they may not participate in any discussion or vote on the matter and must not stay in the meeting unless granted a dispensation.
- Where the matter relates to an ORI they may not vote on the matter unless granted a dispensation.
- Where a Member or co-opted Member has an NRI which directly relates to their financial interest or wellbeing, or that of a relative or close associate, they must disclose the interest at the meeting, may not take part in any discussion or vote on the matter and must not stay in the meeting unless granted a dispensation. Where a matter affects the NRI of a Member or co-opted Member, section 9 of Appendix B of the Code of Conduct sets out the test which must be applied by the Member to decide whether disclosure is required.

The Chair will invite Members to make their disclosure orally at the commencement of Agenda item 3, to be recorded in the minutes.

3. Urgent Business (if any)

To receive notice of any business not on the agenda which in the opinion of the Chair, by reason of special circumstances, be considered as a matter of urgency.

- 4. Dedicated School Grant Management Plan** (Pages 7 - 20)
The report updates the Committee on the recent Dedicated Schools Grant (DSG) Management Plan.
- 5. Treasury Mid-Year Report Review** (Pages 21 - 36)
This report is prepared in accordance with the requirements of the Chartered Institute of Public Finance and Accountancy (CIPFA) codes of practice in respect of capital finance and treasury management.
- 6. Anti-Fraud Corruption Strategy** (Pages 37 - 50)
This document sets out the Council's policy and strategy in relation to fraud and corruption.
- 7. Corporate Risk Register** (Pages 51 - 86)
The report updates the Committee on the corporate risk register (the register) as at November 2021.
- 8. Internal Audit Update Report** (Pages 87 - 112)
This report details the work completed by Internal Audit so far during 2021/22 and the progress made in implementing recommendations from audits completed in previous years.
- 9. Annual Governance Statement 2020-2021** (Pages 113 - 154)
The draft Annual Governance Statement is presented to the Committee for review and approval in accordance with CIPFA guidance.
- 10. Use of the Powers available Under the Regulation of Investigatory Powers Act 2000 and Investigatory Powers Act 2016 - year ending 31 December 2020.** (Pages 155 - 160)
This report informs the Committee the powers available to the Council under Regulation of Investigatory Powers Act 2000 (RIPA) and Investigatory Powers Act 2016 (IPA) have been used over the last calendar year.
- 11. Implementation of Mayoral Governance Model** (Pages 161 - 166)
Update on preparations for implementation of the Mayoral model of Governance.
- 12. General Purposes and Audit Committee Member Development** (Pages 167 - 170)
An update on training for members of the General Purposes and Audit Committee.

13. General Purposes and Audit Tracker Report (Pages 171 - 174)

A proposed tracker to be presented at Committee meetings to enable progress against actions agreed in Committee to be monitored.

14. Work Programme (Pages 175 - 180)

To consider and approve the Committee work programme for the municipal year 2021/22.

15. Exclusion of Public and Press

The following motion is to be moved and seconded where it is proposed to exclude the press and public from the remainder of a meeting:

“That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for the following items of business on the grounds that it involves the likely disclosure of exempt information falling within those paragraphs indicated in Part 1 of Schedule 12A of the Local Government Act 1972, as amended.”

PART B